

Modeling Complex Phase Transformations in Solidification Phenomena Using The Phase Field Crystal (PFC) Methodology

Nikolas Provatas

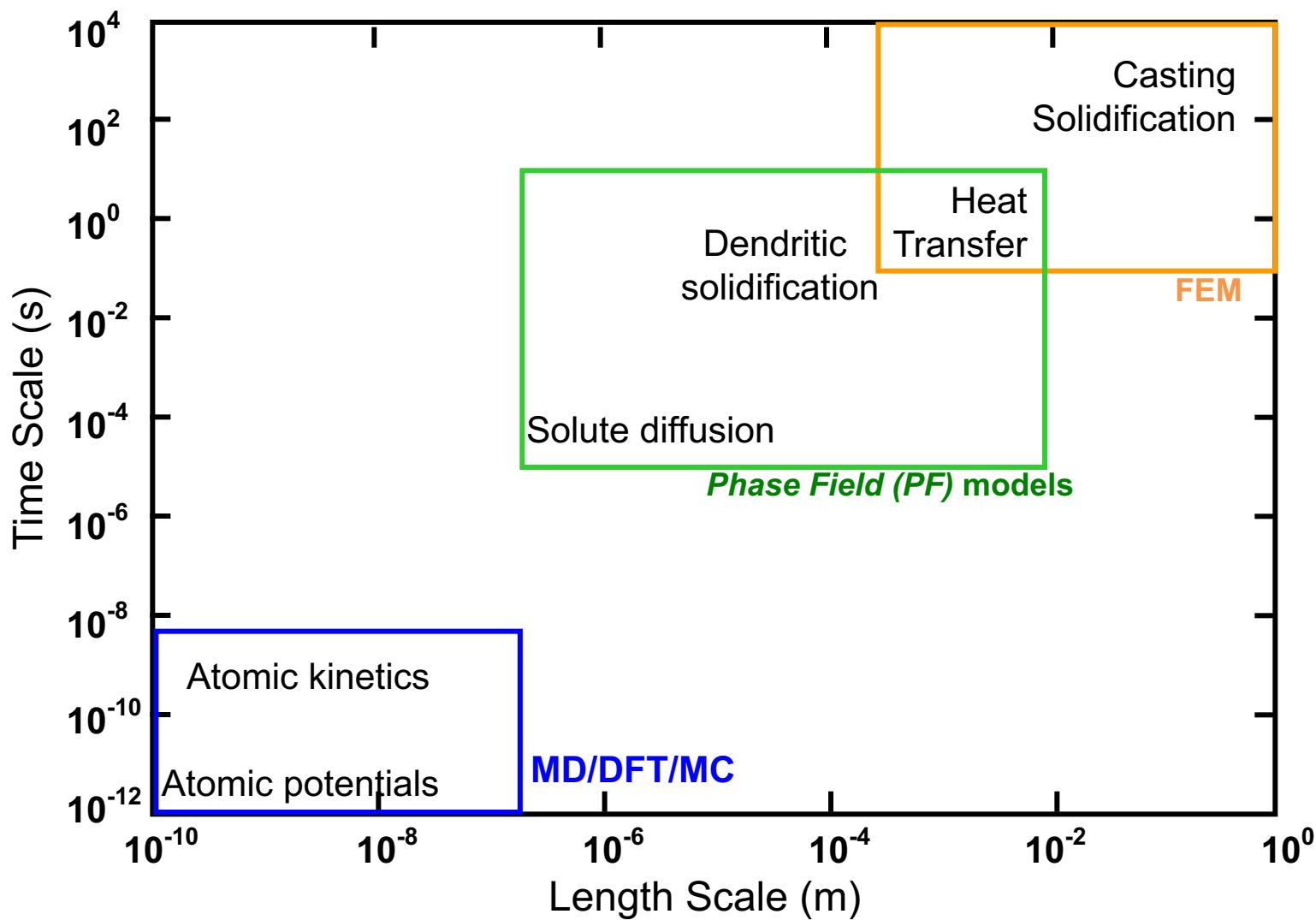
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VTT, Sept 13, 2017



Length and Time Scales in Materials Science



Multi-Phase & Multi-Component Solidification

$$\tau_\alpha \frac{\partial \phi_\alpha}{\partial t} = W_\alpha^2 \nabla^2 \phi_\alpha - f'_{\text{DW}}(\phi_\alpha) - w_{obs} \phi_\alpha \sum_{\beta \neq \alpha}^N \phi_\beta^2 - \left(\frac{(\mathbf{I} - [\mathbf{K}^\alpha])^T}{2} \vec{U}_\alpha + \hat{n}_c \right)^T [\lambda_\alpha] \vec{U}_\alpha g'_\alpha(\vec{\phi})$$

$$[\boldsymbol{\chi}] \frac{\partial \vec{\mu}}{\partial t} = \nabla \cdot \left[[\mathbf{M}] \nabla \vec{\mu} + \sum_{\alpha} W_\alpha a(\vec{\phi}) |\Delta \vec{C}_{\text{eq}}^\alpha| \left\{ \hat{n}_c + (\mathbf{I} - [\mathbf{K}^\alpha])^T \vec{U}_\alpha \right\} \frac{\partial \phi_\alpha}{\partial t} \frac{\nabla \phi_\alpha}{|\nabla \phi_\alpha|} \right] \\ + \frac{1}{2} \sum_{\alpha} |\Delta \vec{C}_{\text{eq}}^\alpha| \left\{ \hat{n}_c + (\mathbf{I} - [\mathbf{K}^\alpha])^T \vec{U}_\alpha \right\} \frac{\partial \phi_\alpha}{\partial t},$$

$$\vec{U}_\alpha = \frac{[\boldsymbol{\chi}^L]}{|\Delta \vec{C}_{\text{eq}}^\alpha|} (\vec{\mu} - \vec{\mu}_\alpha^{\text{eq}})$$

$$\hat{n}_c = \frac{\Delta \vec{C}_{\text{eq}}^\alpha}{|\Delta \vec{C}_{\text{eq}}^\alpha|}$$

$$[\mathbf{K}^\alpha] = [\boldsymbol{\chi}^L]^{-1} [\boldsymbol{\chi}^\alpha]$$

$$[\lambda_\alpha] = \hat{\lambda}_\alpha |\Delta \vec{C}_{\text{eq}}^\alpha|^2 [\chi^L]^{-1}$$

$$\Delta \vec{C}_{\text{eq}}^\alpha = \vec{c}_{\text{eq}}^L - \vec{c}_{\text{eq}}^\alpha$$

$$[\boldsymbol{\chi}] = [\boldsymbol{\chi}^L] \left\{ \mathbf{I} - \sum_{\alpha} \left(\mathbf{I} - [\mathbf{K}^\alpha] \right) h_\alpha(\vec{\phi}) \right\}$$

$$[\mathbf{M}] = \sum_{\alpha}^N q_\alpha(\vec{\phi}) [\mathbf{D}]^\alpha [\boldsymbol{\chi}^\alpha] + \left(1 - \sum_{\alpha}^N q_\alpha(\vec{\phi}) \right) [\mathbf{D}^L] [\boldsymbol{\chi}^L]$$

$\phi_\alpha \leftarrow$ grain of solid α

$\vec{\mu} \leftarrow$ chemical potential

$\vec{\mu}_\alpha^{\text{eq}} \leftarrow$ chemical potential of liquid- α equilibrium

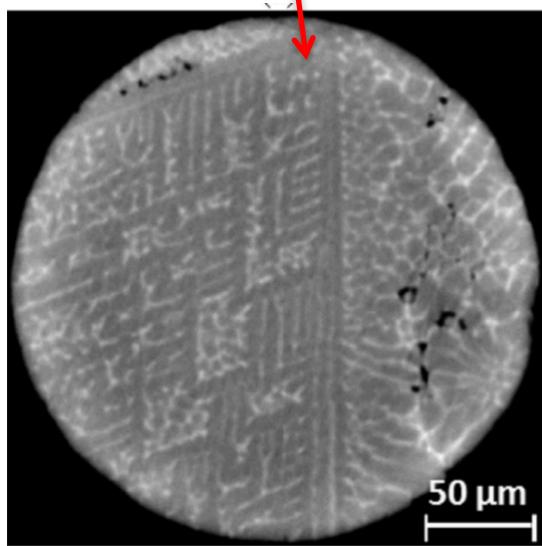
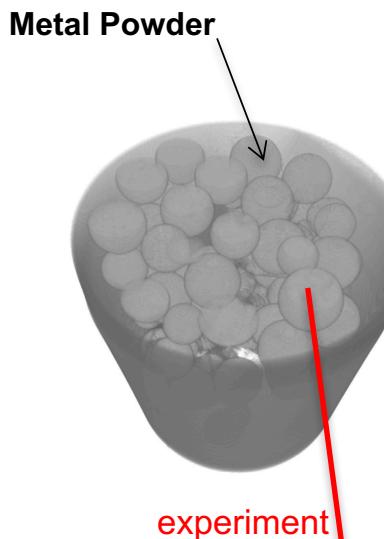
$[\chi^\theta] \leftarrow$ inverse hessian of phase $\theta(\alpha, L)$

$\vec{c}_{\text{eq}}^\theta \leftarrow$ equilibrium concs. of phase $\theta(\alpha, L)$

$g_\alpha(\vec{\phi}), h_\alpha(\vec{\phi}), q_\alpha(\vec{\phi}) \leftarrow$ interpolate between α & L

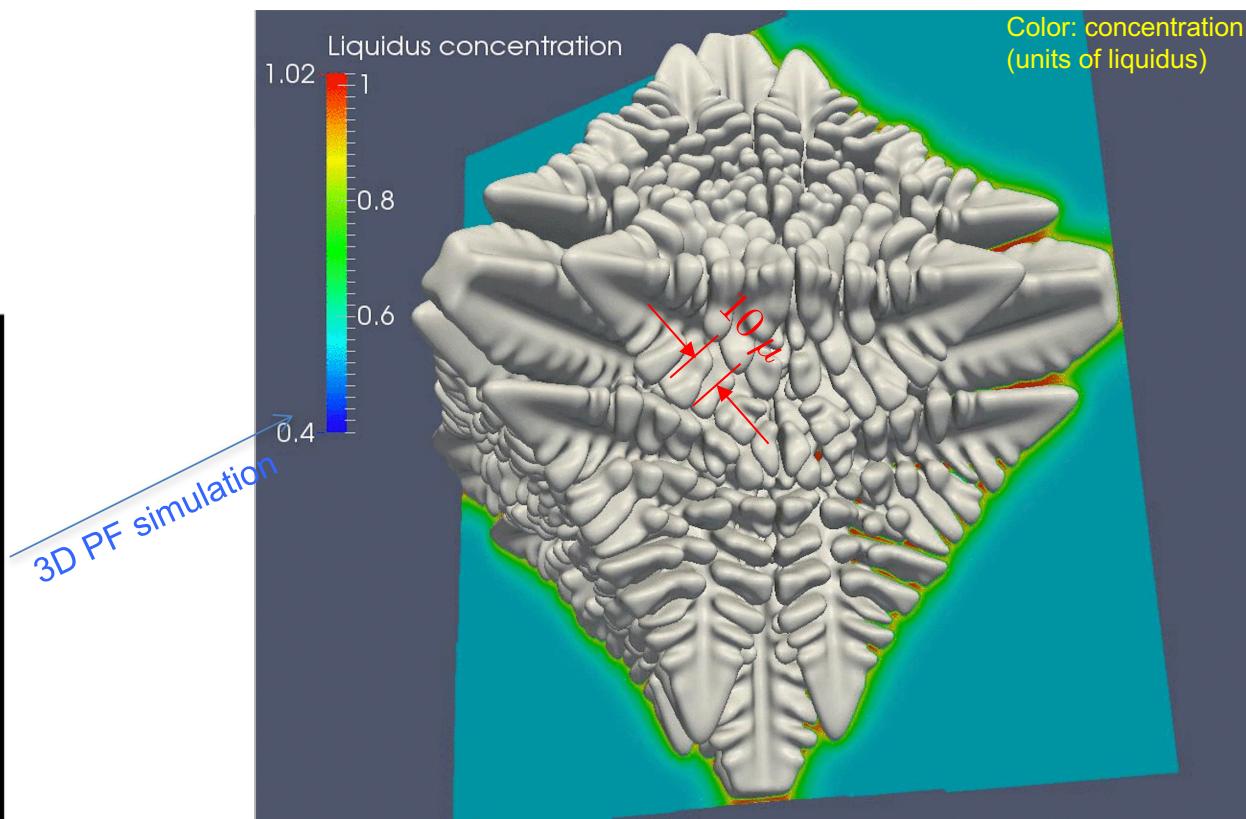
$[D^\theta] \leftarrow$ diffusivity of, phase $\theta(\alpha, L)$

Multi-Scale Challenges: Adaptive Mesh Refinement



2D cross section: C.A. Gandin, H. Henein,
and coworkers, Acta Mat. Vol. 89 (2015)

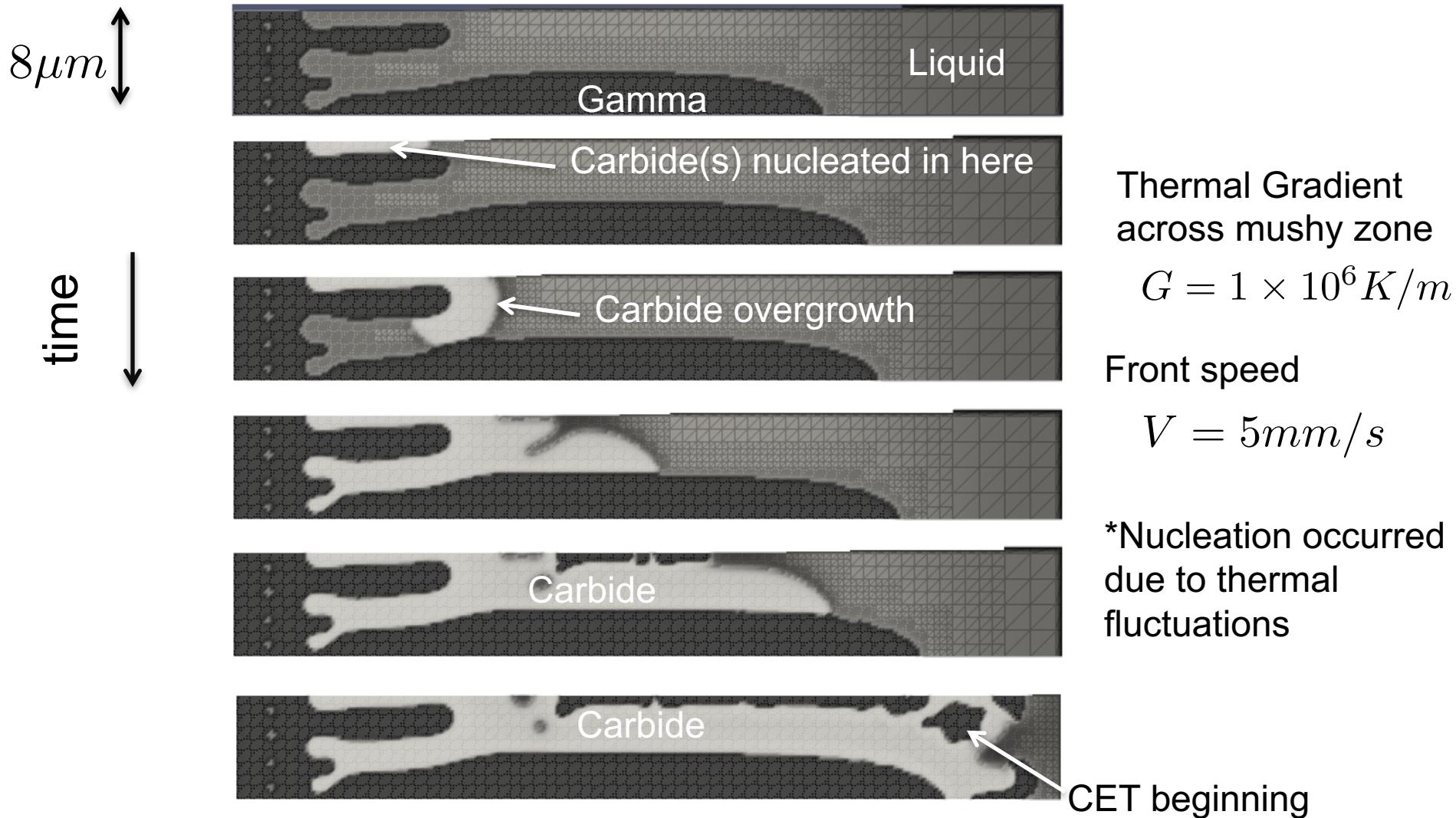
- ❖ **Equivalent uniform mesh:** $\sim 10^{10}$ nodes, 10^2 days of simulation
- ❖ **New 3D AMR mesh:** $\sim 10^8$ nodes and 65 hours of simulation (64 cores)



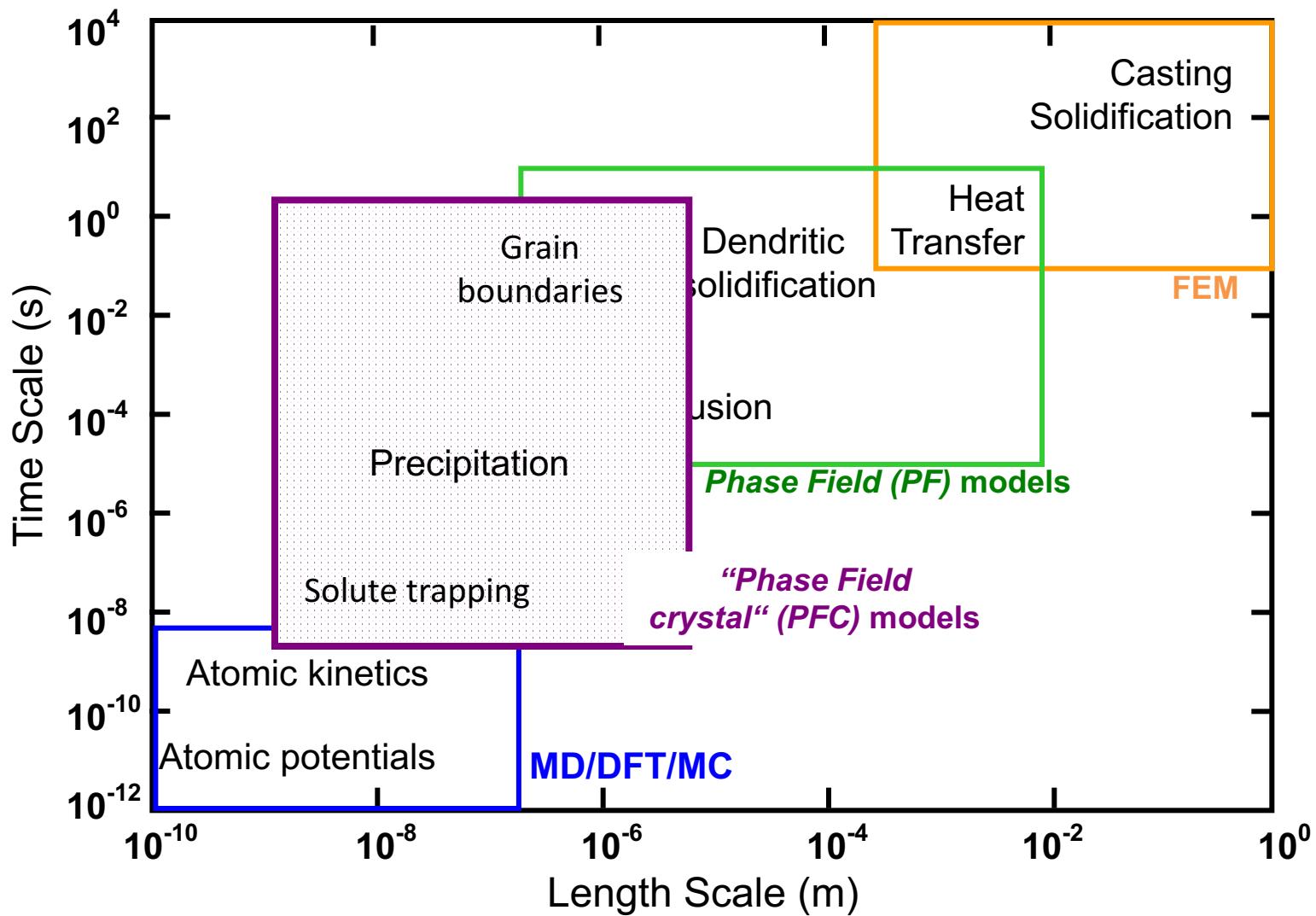
Two-component alloy: Al-4.5 wt%Cu
System (drop) size: $\sim 100 \text{ } \mu\text{m}$
Rapid quench to $T=640 \text{ } \text{C}$

Grey: interface

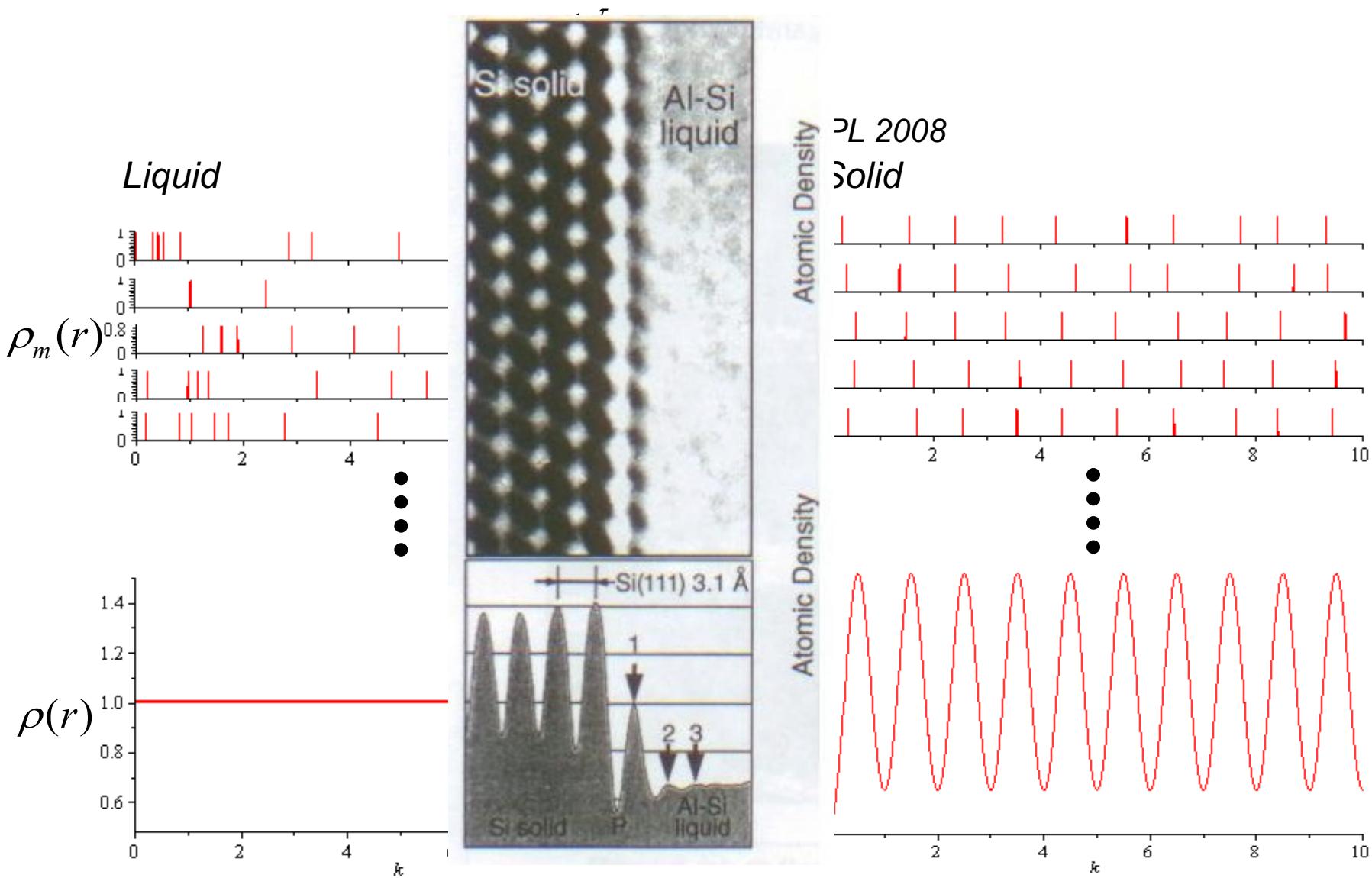
Noise-Induced Two-Phase Nucleation



Bridging a Gap in Scales



Modelling Atomic Effects in Crystallization and Solid State Transformations



From cDFT to Phase Field Crystal (PFC) Methods

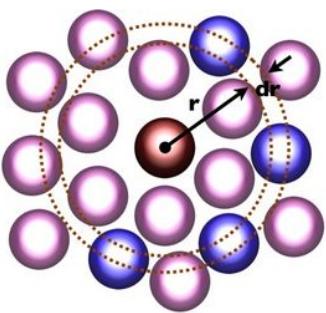
$$\frac{F}{k_B T} = F_{\text{id}} + F_{\text{int}}$$

$$\frac{F_{\text{id}}}{k_B T \rho_o} \approx \int d\vec{r} \left(\frac{n^2}{2} - \frac{n^3}{3} + \frac{n^4}{12} \right)$$

$$\frac{F_{\text{int}}}{k_B T \rho_o} \approx \frac{1}{2} \iint d\vec{r}_2 d\vec{r}_1 n(\vec{r}_1) C_2(|\vec{r}_1 - \vec{r}_2|) n(\vec{r}_2)$$

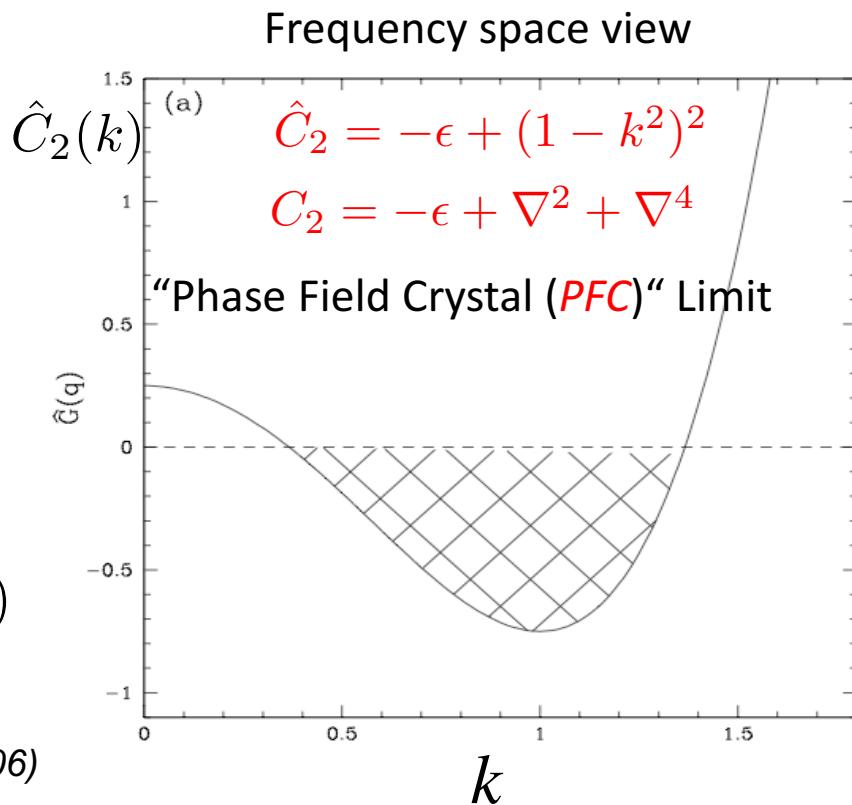
$$n = (\rho - \rho_o)/\rho_o$$

$n(\vec{x})$ Varies at the atomic scale



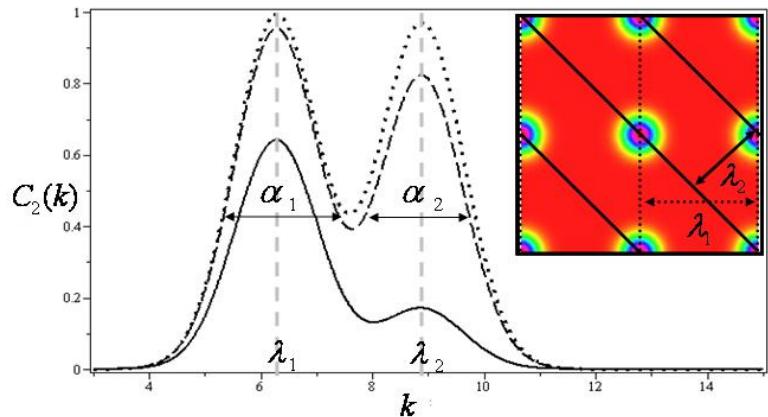
Density (n) Evolution

$$\frac{\partial^2 n}{\partial t^2} + \frac{1}{\beta} \frac{\partial n}{\partial t} = M \nabla^2 \left(\frac{\delta F}{\delta n} \right) + \eta(\mathbf{r}, t)$$



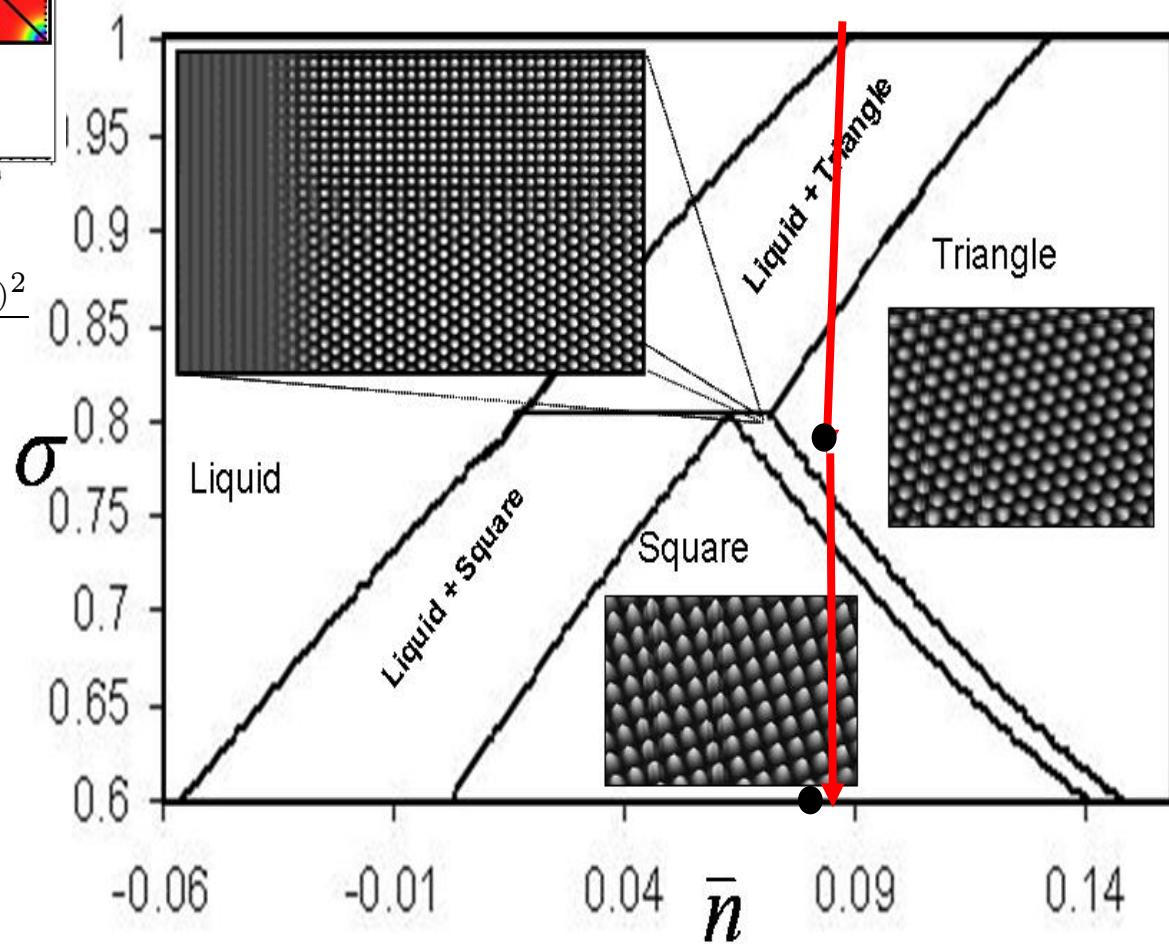
Complex Metal Structures: The “XPFC” Model

2D : Square Correlation Function

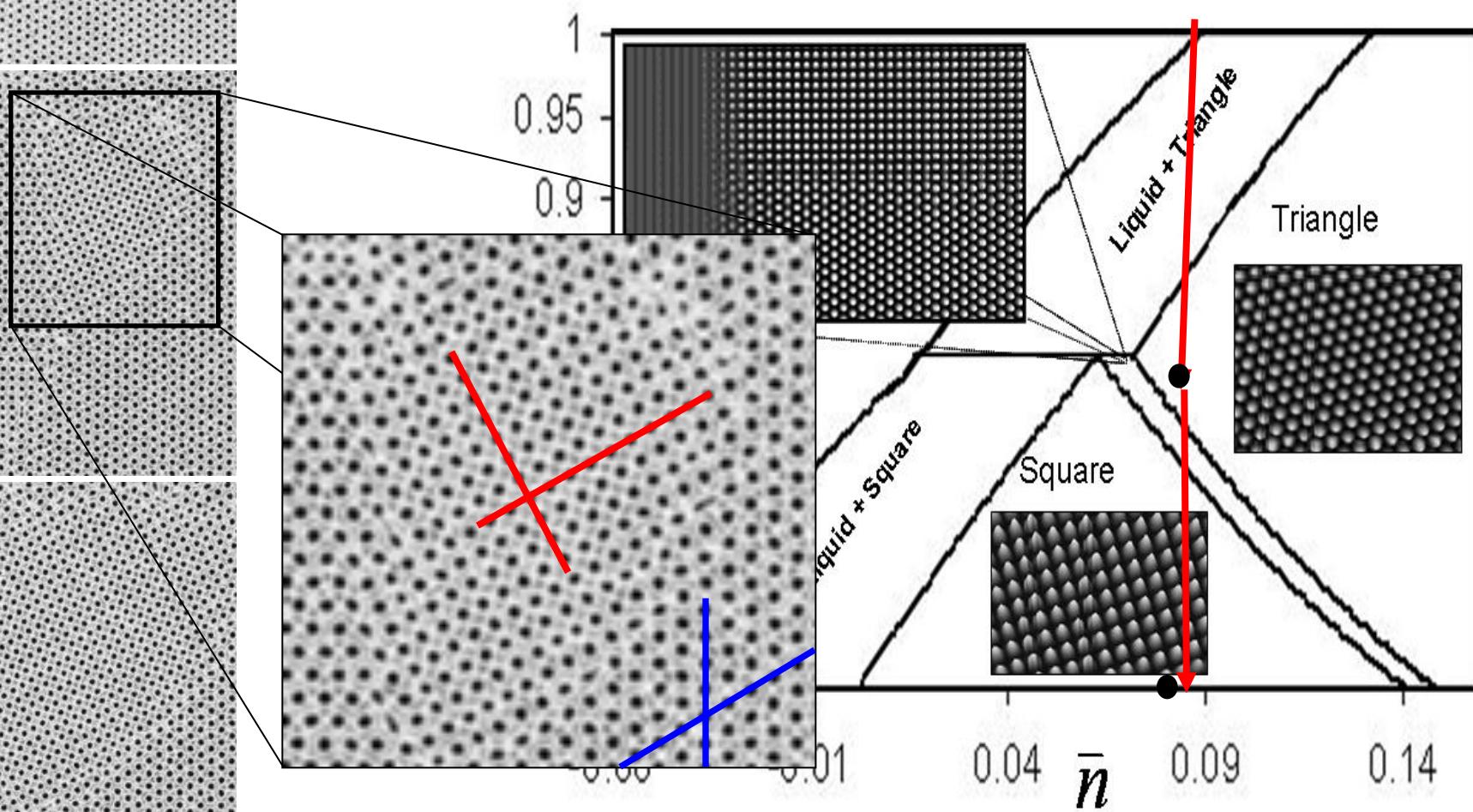


$$\hat{C}_2(k) = -r + \sum_i e^{\frac{\sigma(T)k_i^2}{2\rho_i\beta_i}} e^{-\frac{(k-k_i)^2}{2\alpha_i^2}}$$

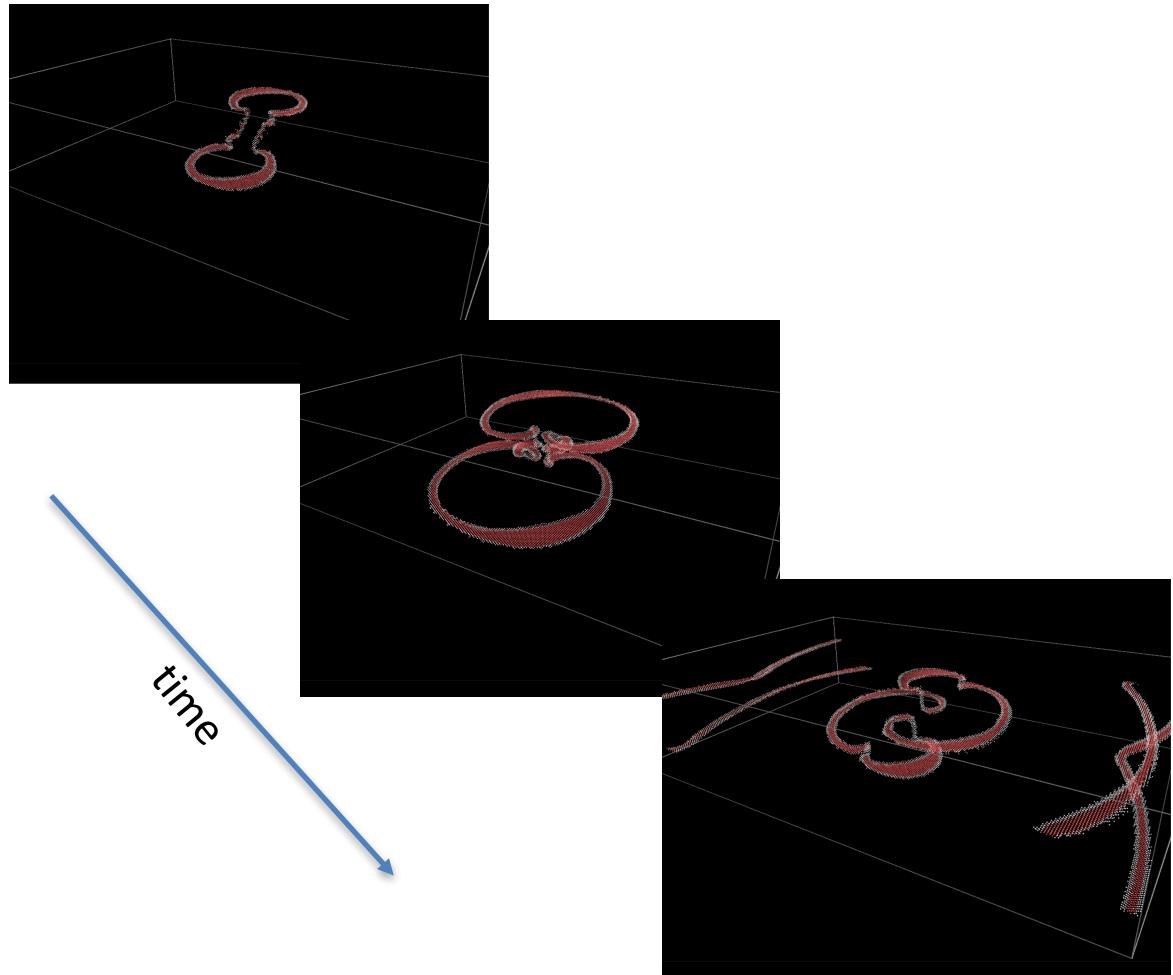
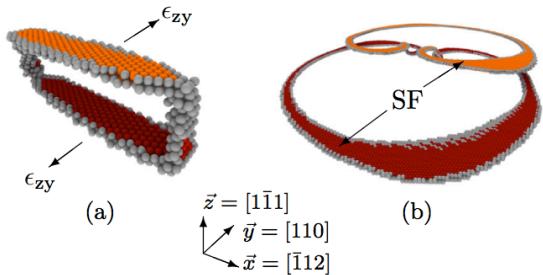
2D: HCP, SC
3D: FCC, BCC, HPC, ...



Metal Structures: The “XPFC” Model



Conservative Dislocations (3D) Creation Mechanisms



Similar Structures by MD: M. de Koning, et al Phys. Rev. Lett. **91** (2003).

XPFC Model of Multi-Component Alloys

$$\mathcal{F}_{id} = \sum_{i=1}^N \int \left\{ \rho_i \ln (\rho_i / \rho_i^o) - (\rho_i - \rho_i^o) \right\} d\mathbf{r}$$

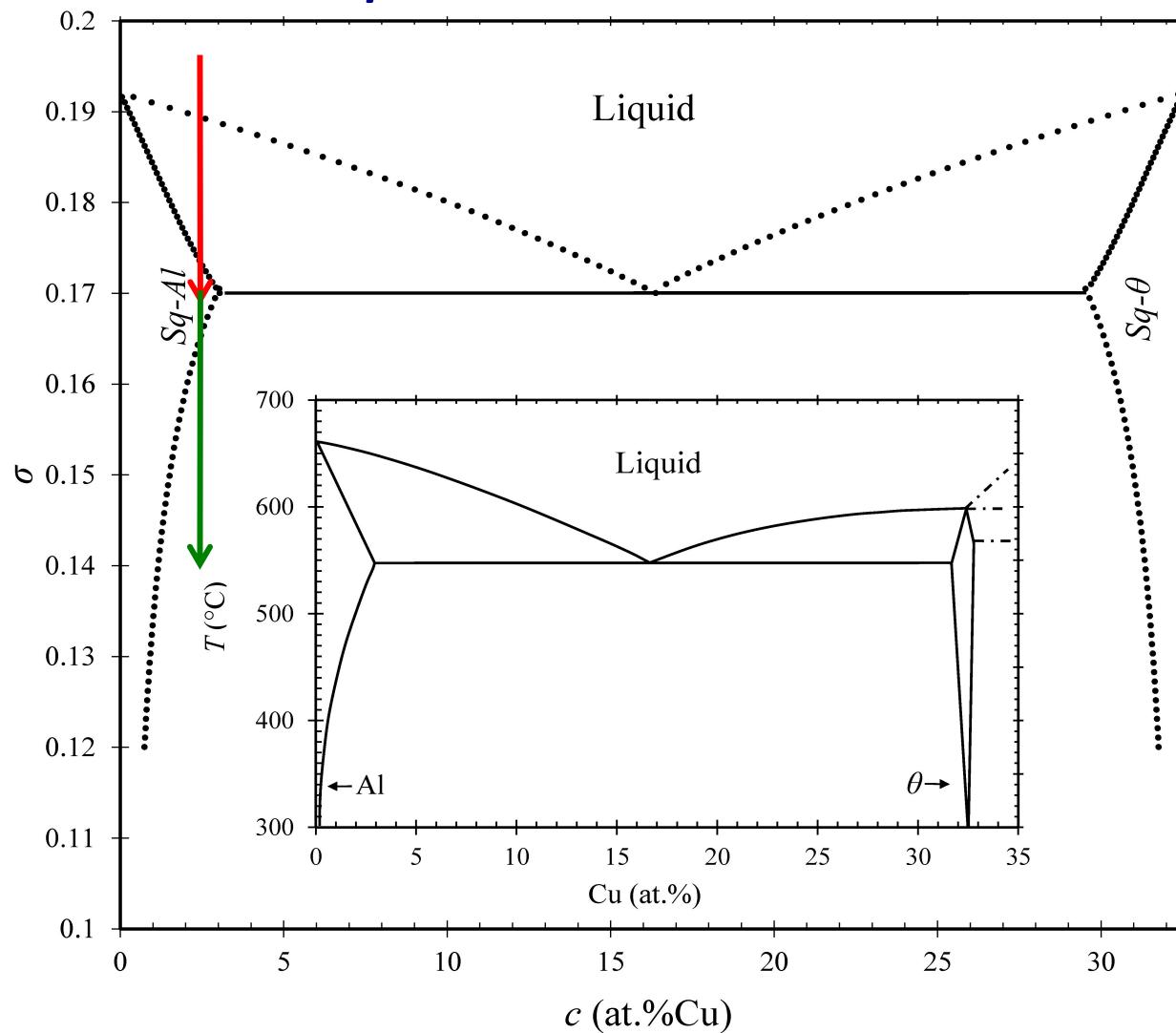
$$n = \rho_1 + \rho_2 + \dots$$

$$c_i = \rho_i / \left(\sum_{j=1}^N \rho_j \right)$$

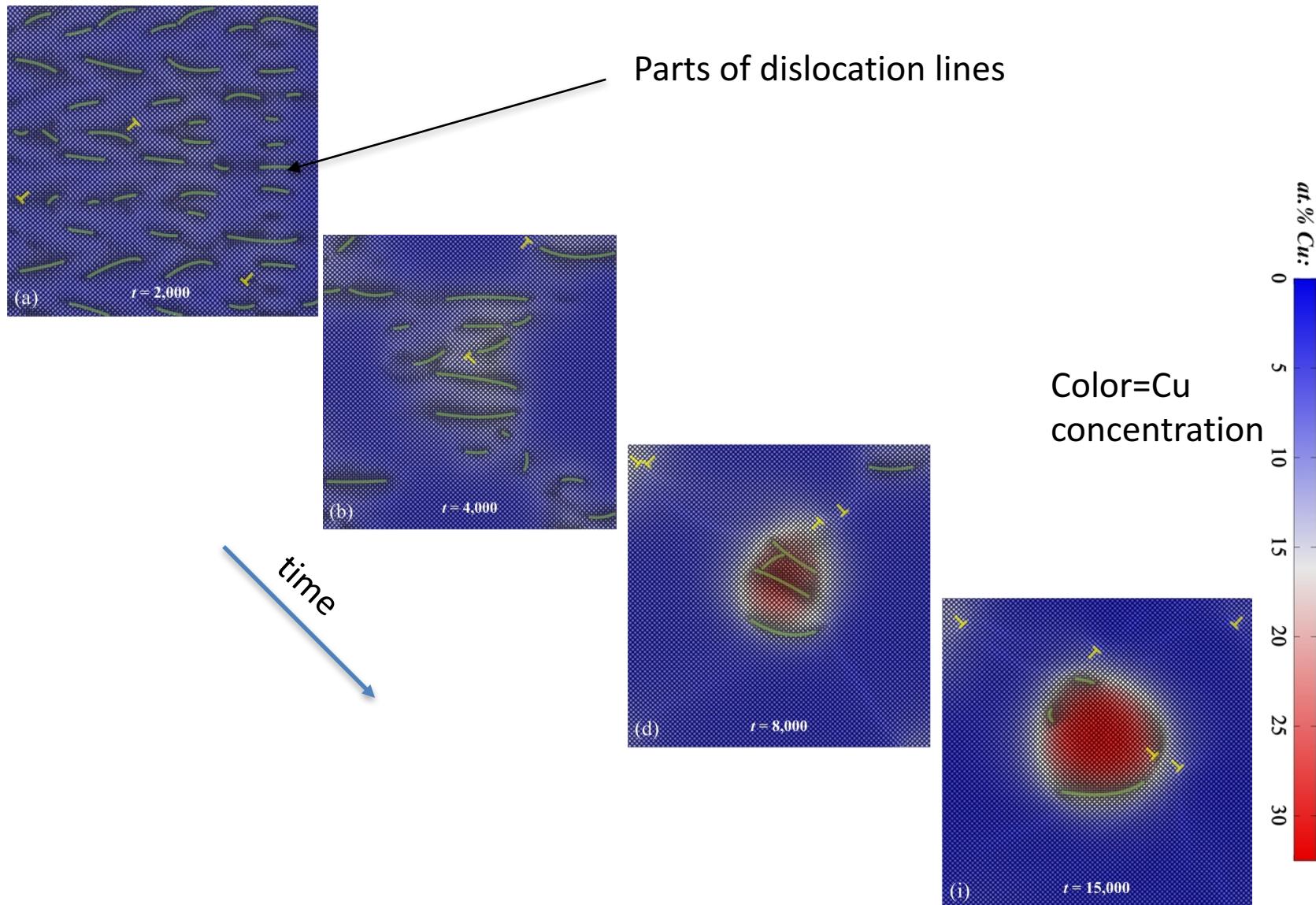
$$C_{2(eff)} = \sum_i \chi_i (\{c_j\}) C_2^{ii} (|\mathbf{r} - \mathbf{r}'|)$$

$$\begin{aligned} \frac{\Delta F}{k_B T \rho_o} &= \int \left\{ \frac{n^2}{2} + \xi \frac{n^3}{3} + \chi \frac{n^4}{4} - n(\mathbf{x}) \left(\int C_{2(eff)}(|\mathbf{x} - \mathbf{x}'|) n(\mathbf{x}) d\mathbf{x}' \right) \right. \\ &\quad \left. + \Delta F_{mix}(\{c_i\})(n+1) + \frac{1}{2} \sum_{i,j=1}^N \kappa_{ij} \nabla c_i \cdot \nabla c_j \right\} d\mathbf{x} \end{aligned}$$

Application: Dislocation –Mediated Nucleation of Precipitate Clusters in Al-Cu



Application: Dislocation –Mediated Nucleation of Precipitate Clusters in Al-Cu



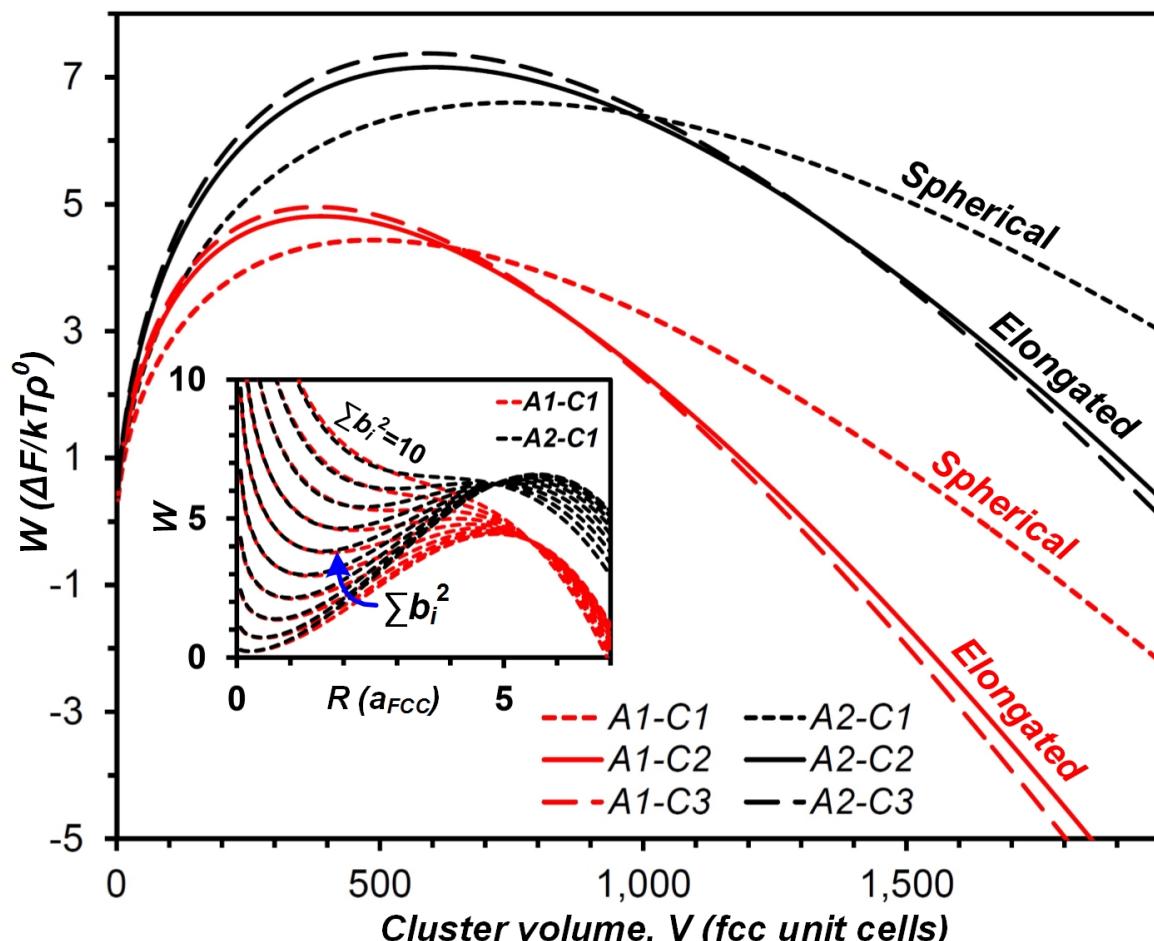
The Role of Dislocations in Mediating Clustering

Work of formation: $\Delta W = 2\pi R\gamma + \pi R^2 (\Delta f + \Delta G_{cs}) - \psi^2 \chi_d E A \ln(R) + \zeta A$

$$A = G_A \sum b_i^2 / 4\pi(1-\nu)$$

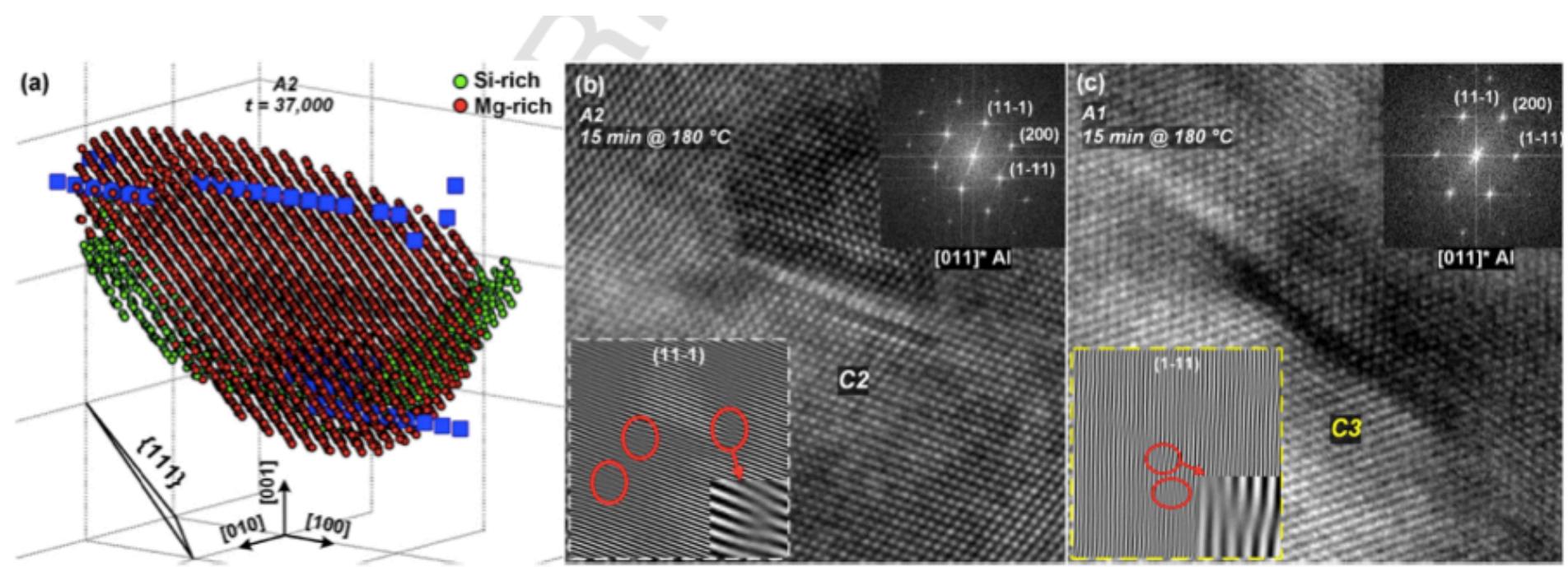
$$\psi^2 \chi_d \equiv \frac{\psi_M g \psi_M g \frac{\partial^2 f}{\partial c_{si}^2} + \psi_{Si} \psi_{Si} \frac{\partial^2 f}{\partial c_{Mg}^2} + \psi_{Si} \psi_{Mg} \frac{\partial^2 f}{\partial c_{Mg} \partial c_{si}}}{\frac{\partial^2 f}{\partial c_{Si}^2} \frac{\partial^2 f}{\partial c_{Mg}^2} - \left(\frac{\partial^2 f}{\partial c_{Mg} \partial c_{si}} \right)}$$

F. Larche, J.W. Cahn,
Acta Metall (1985).



The Role of Dislocations in Mediating Clustering

F. Larche, J.W. Cahn,
Acta Metall (1985).



V. Fallah et. al, Acta Materialia, Vol. 82, (2015)

In partnership with Novelis Inc. and The Canadian Centre for Electron Microscopy at McMaster

Extending the PFC Approach to Three-Point Interactions: Non-Metallic Materials

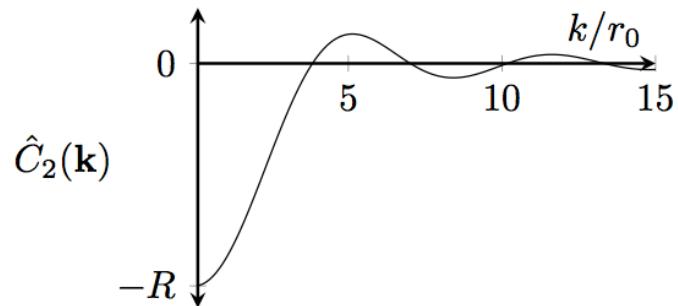
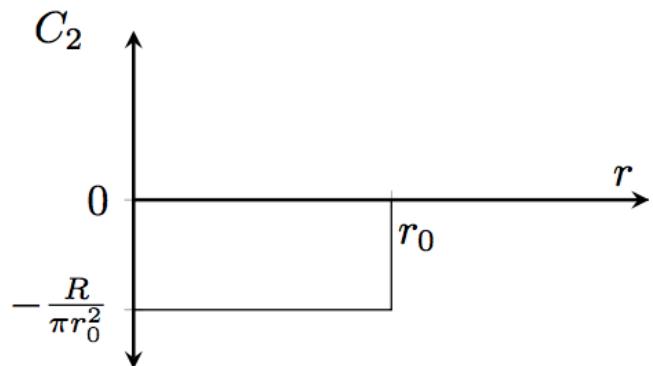
$$\frac{\Delta F}{k_B T \bar{\rho}} = F_{id}[n] + F_{ex,2}[n] + F_{ex,3}[n]$$

$$\mathcal{F}_{id} \approx \int d(\mathbf{r}) \left\{ \frac{n^2}{2} - \nu \frac{n^3}{6} + \xi \frac{n^4}{12} \right\}$$

$$F_{ex,2} = -\frac{1}{2} \int n(\mathbf{r}) \int C_2(\mathbf{r} - \mathbf{r}') n(\mathbf{r}') d\mathbf{r}' d\mathbf{r}$$

$$C_2(r) = -\frac{R}{\pi r_o^2} \text{circ} \left(\frac{r}{r_o} \right)$$

Hard Sphere repulsion



Rotationally Invariant 3-Point Correlation Function or Graphene

$$F_{ex,3} = -\frac{1}{3} \int n(\mathbf{r}) \int C_3(\mathbf{r} - \mathbf{r}', \mathbf{r} - \mathbf{r}'') n(\mathbf{r}') n(\mathbf{r}'') d\mathbf{r}' d\mathbf{r}'' d\mathbf{r}$$

$$C_3(\mathbf{r} - \mathbf{r}', \mathbf{r} - \mathbf{r}'') = \sum_i C_s^{(i)}(\mathbf{r} - \mathbf{r}') C_s^{(i)}(\mathbf{r} - \mathbf{r}'')$$

$$C_s^{(1)}(r, \theta) = C_r(r) C_\theta^{(1)}(\theta) = C_r(r) \cos(m\theta)$$

$$C_s^{(2)}(r, \theta) = C_r(r) C_\theta^{(2)}(\theta) = C_r(r) \sin(m\theta)$$

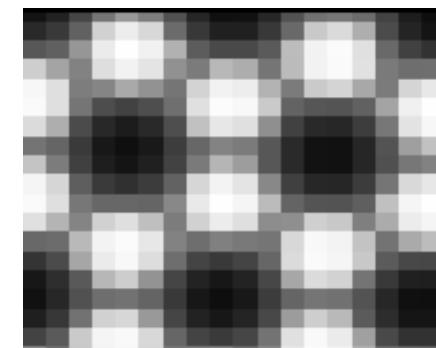
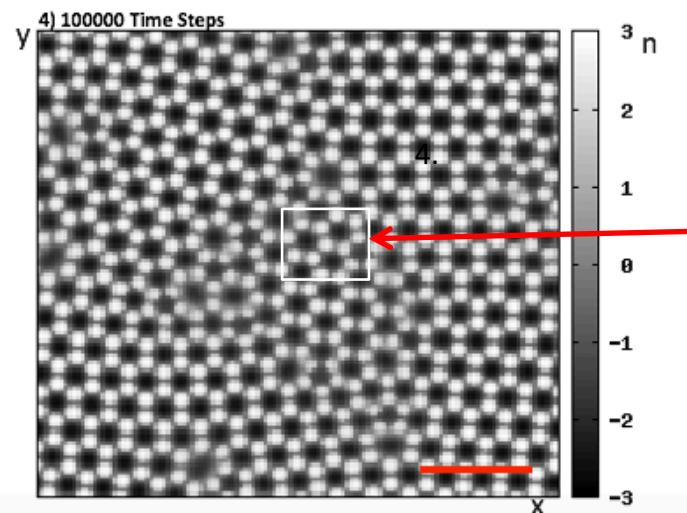
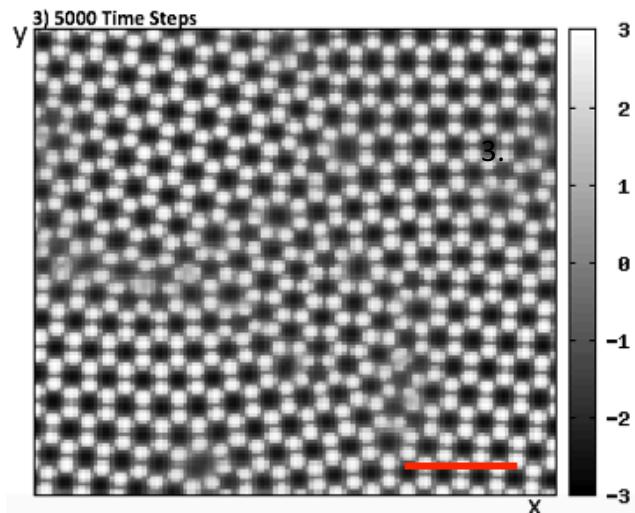
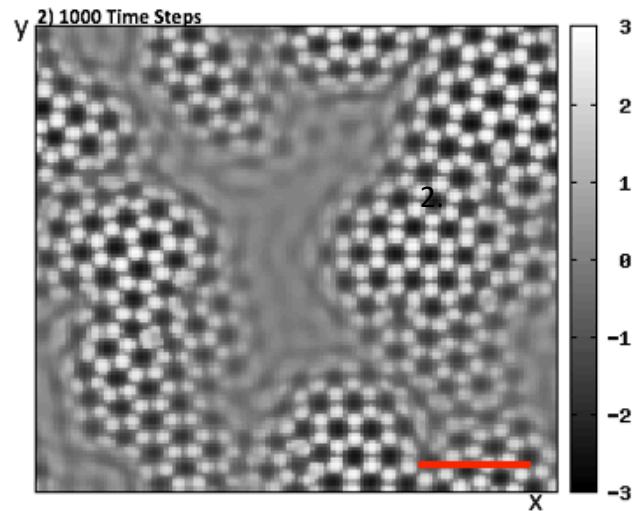
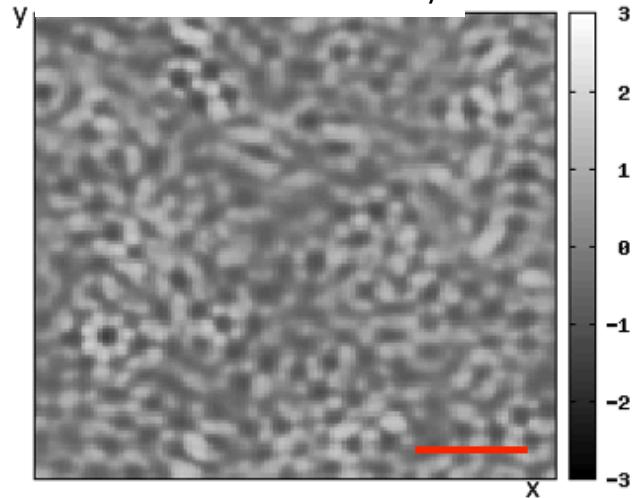
$$C_r(r) = X(T^{-1}) 2\pi a_o \delta(r - a_o)$$

$$\sum_i C_s^{(i)}(\mathbf{r} - \mathbf{r}') C_s^{(i)}(\mathbf{r} - \mathbf{r}'') = C_r(\mathbf{r} - \mathbf{r}') C_r(\mathbf{r} - \mathbf{r}'') \cos(m(\theta_2 - \theta_1))$$

**Rotationally Invariant*

Nucleation/Growth of Graphene Polycrystals

fluctuations in carbon density



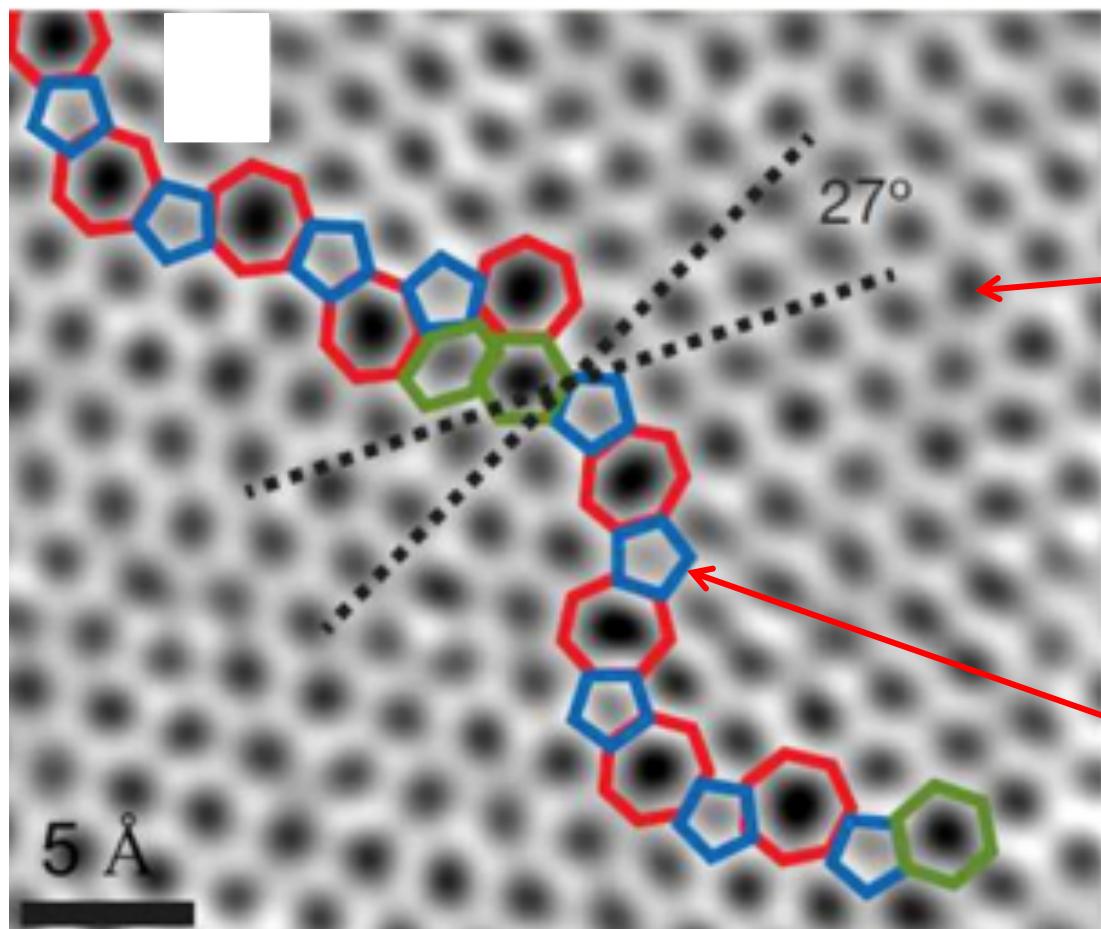
2.46 Å

Red Bar = 7.38 Å

Kate Elder, MSc Thesis (2017)

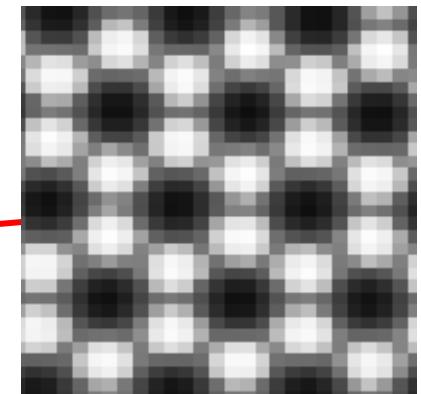
19

Experimental Comparison of Defects

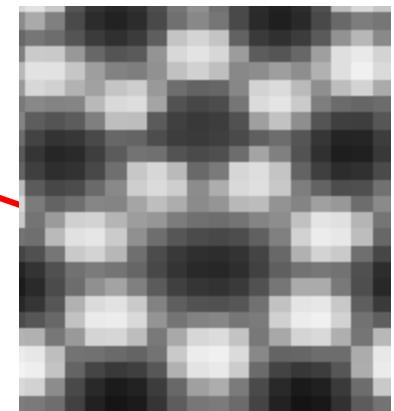


Red – 7, Green – 6, Blue - 5

Normal Graphene



5-7 Defect



Experimental

Terrones, H.; Lv, R.; Terrones, M.; Dresselhaus, M. The role of defects and doping in 2D graphene sheets and 1D nanoribbons. *Reports on Progress in Physics*. **75**, 062501 (2012).

Controlling Long-Range Particle Interactions: PFC Modelling of Vapour-Liquid-Solid Systems

$$\frac{\Delta F}{k_B T \bar{\rho}} = F_{VdW}[n] + F_{ex,2}[n]$$

$$F_{VdW}[\rho] = F_{id} - \int d\mathbf{r} \left[\rho_{mf} \ln(1 - \rho_{mf} b) + \frac{a}{k_B T} \rho_{mf}^2 \right]$$

Modified Van
der Walls
Interaction

$$\rho_{mf}(\mathbf{r}) = \int d\mathbf{r}' \chi(\mathbf{r} - \mathbf{r}') \rho(\mathbf{r}')$$

$$\chi(k) = \exp(-k^2/(2\lambda))$$

Density Functional Theory with Long-Range Multi-Point Interactions

$$\mathcal{F}[n] = \int d\mathbf{r} \left[\frac{n(\mathbf{r})^2}{2} - \frac{n(\mathbf{r})^3}{6} + \frac{n(\mathbf{r})^4}{12} \right]$$

$$-\frac{1}{2} \int d\mathbf{r}_1 d\mathbf{r}_2 C_2(\mathbf{r}_1 - \mathbf{r}_2) n(\mathbf{r}_1) n(\mathbf{r}_2)$$

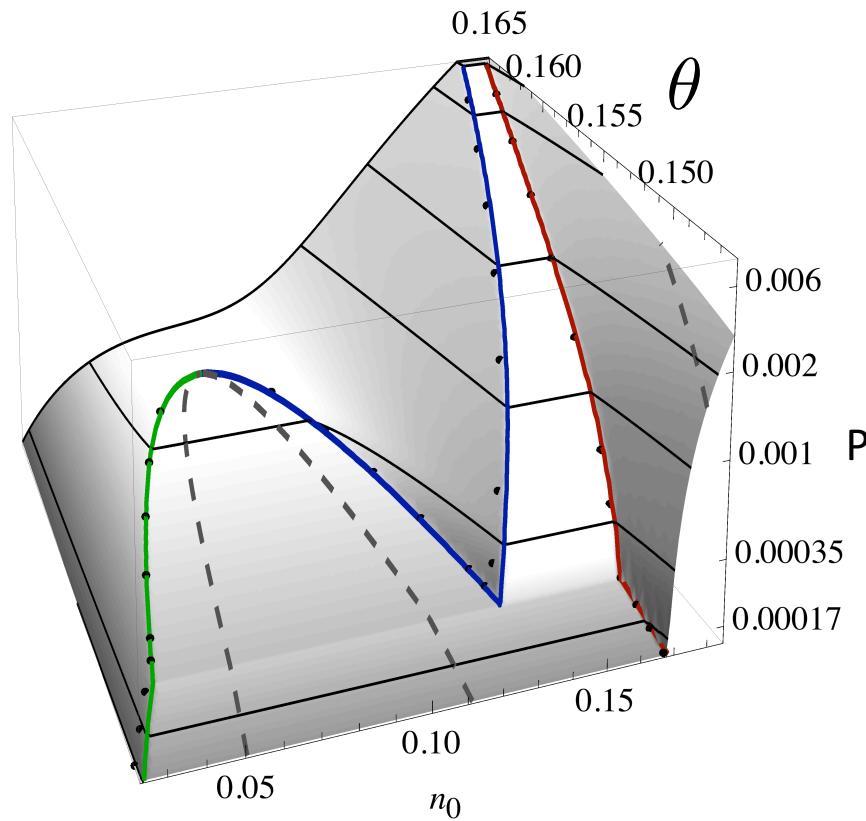
$$+ \sum_{m=3}^4 \frac{1}{m} \left(\int d\mathbf{r}_1 \cdots d\mathbf{r}_m \chi^{(m)}(\mathbf{r}_1 \cdots \mathbf{r}_m) n(\mathbf{r}_1) \cdots n(\mathbf{r}_m) \right)$$

$$\chi^{(3)} = (a r + b) \chi(\mathbf{r}_1 - \mathbf{r}_2) \chi(\mathbf{r}_1 - \mathbf{r}_3)$$

$$\chi^{(4)} = c \chi(\mathbf{r}_1 - \mathbf{r}_2) \chi(\mathbf{r}_1 - \mathbf{r}_3) \chi(\mathbf{r}_1 - \mathbf{r}_4)$$

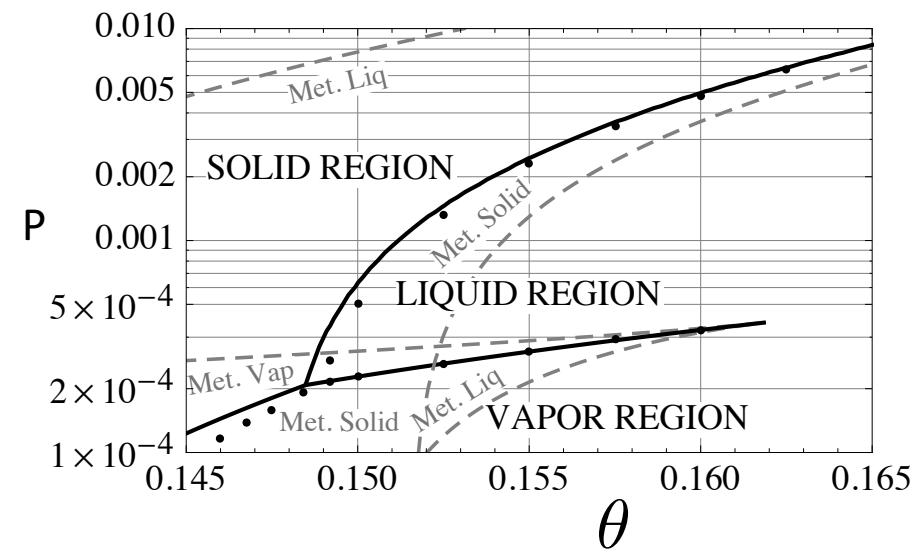
$$\chi(k) = \exp(-k^2/(2\lambda))$$

Three-Phase Coexistence with a Single PFC Density Field

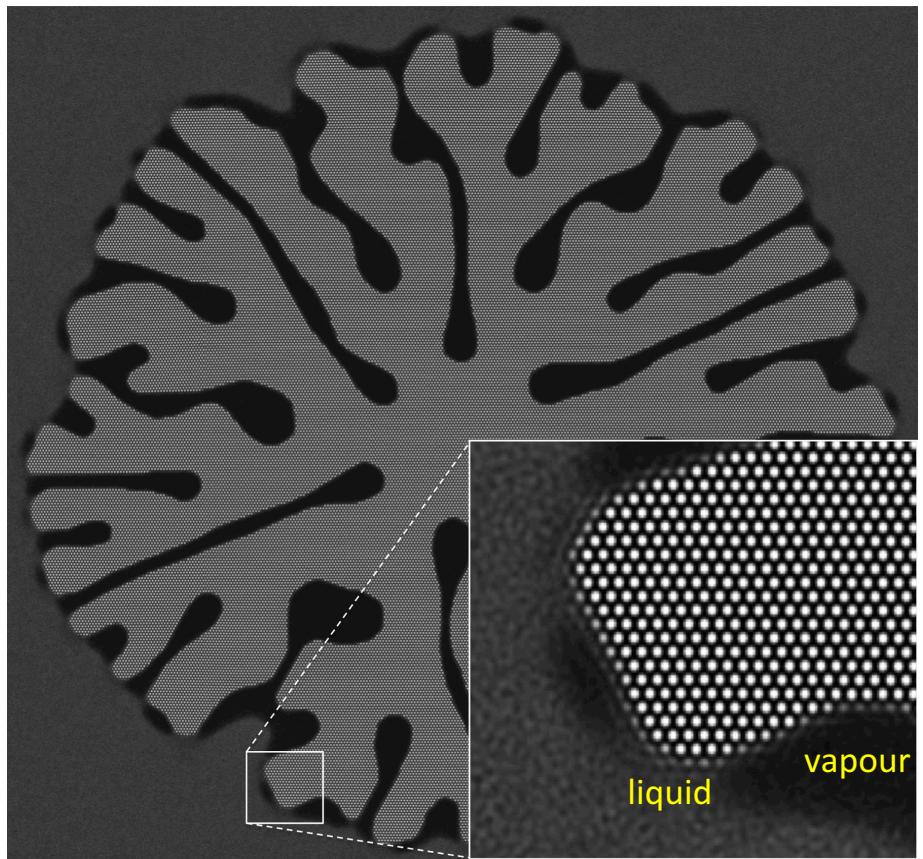
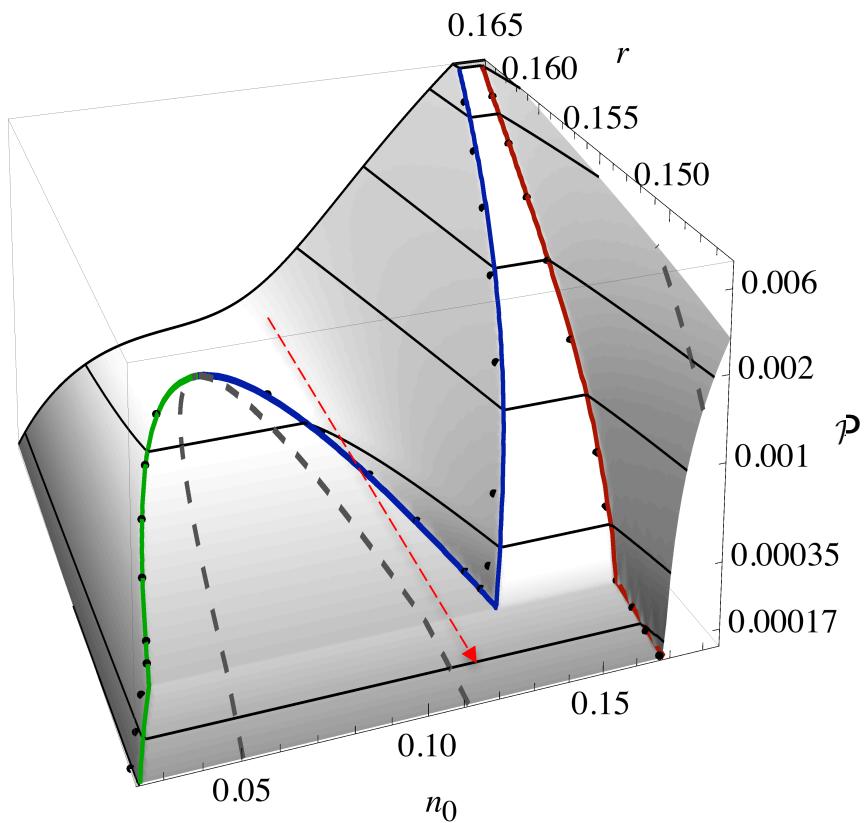


Crystal structure set by using:

$$\hat{C}_2(k) = -(r + (1 - k^2)^2)$$



Crystallization of Liquid Via The Vapour Phase

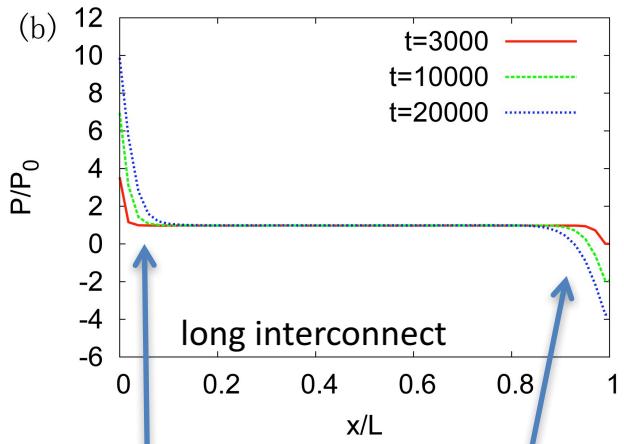
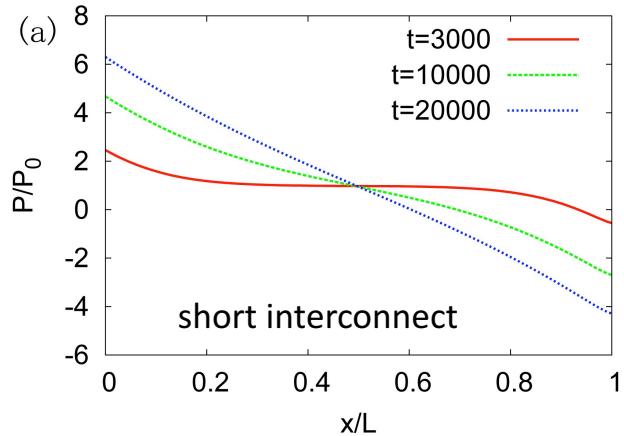


Application to Electromigration

$$\begin{aligned}\mathcal{F}[n] = & \int d\mathbf{r} \left[\frac{n(\mathbf{r})^2}{2} - \frac{n(\mathbf{r})^3}{6} + \frac{n(\mathbf{r})^4}{12} \right] \\ & - \frac{1}{2} \int d\mathbf{r}_1 d\mathbf{r}_2 C_2(\mathbf{r}_1 - \mathbf{r}_2) n(\mathbf{r}_1) n(\mathbf{r}_2) \\ & + \sum_{m=3}^4 \frac{1}{m} \left(\int d\mathbf{r}_1 \cdots d\mathbf{r}_m \chi^{(m)}(\mathbf{r}_1 \cdots \mathbf{r}_m) n(\mathbf{r}_1) \cdots n(\mathbf{r}_m) \right) \\ & + \frac{A_o}{k_B T \bar{\rho}} \int q[n] V(r) dr \qquad \qquad q(r) = \frac{eZ^*}{\Omega} \langle n(r) \rangle n(r)\end{aligned}$$

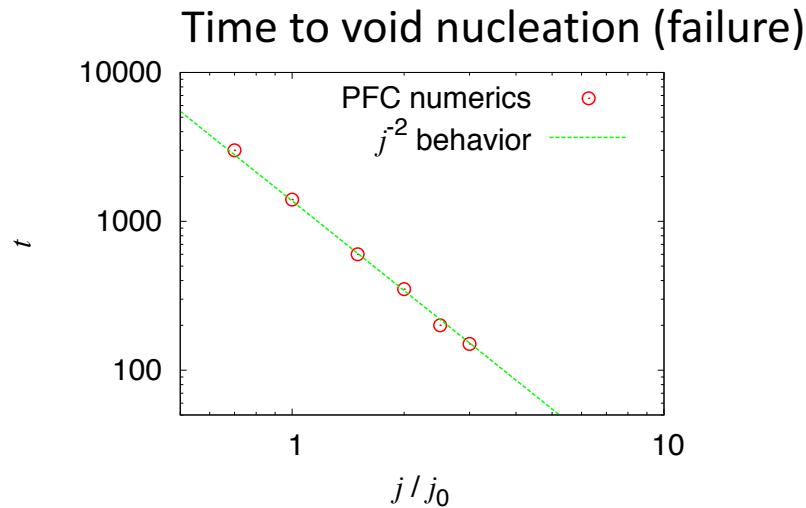
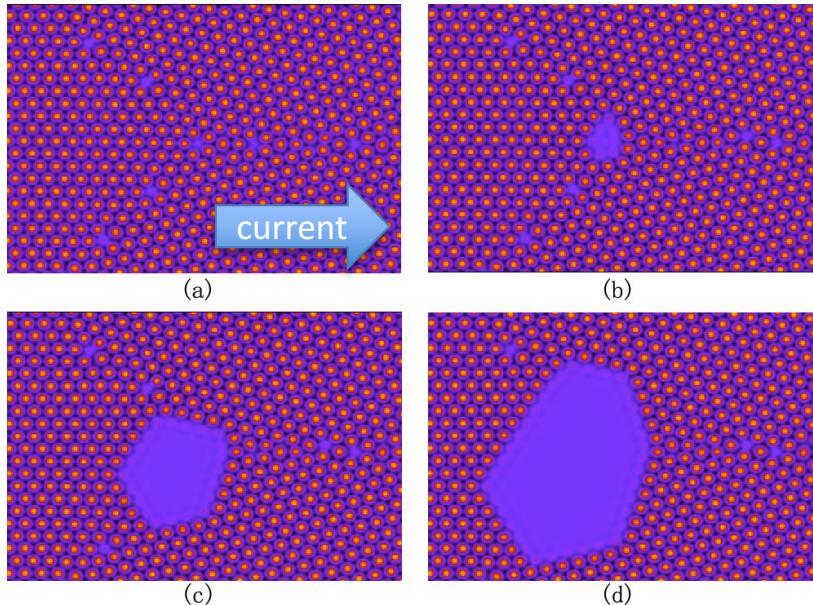
$$\frac{\partial n}{\partial t} = \nabla \cdot \left(\Gamma \nabla \frac{\delta \mathcal{F}}{\delta n} \right) + \eta \qquad \nabla \cdot (\sigma[n] \nabla V) = 0$$

Void Growth and migration in Electromigration in Nanoelectronic Interconnects

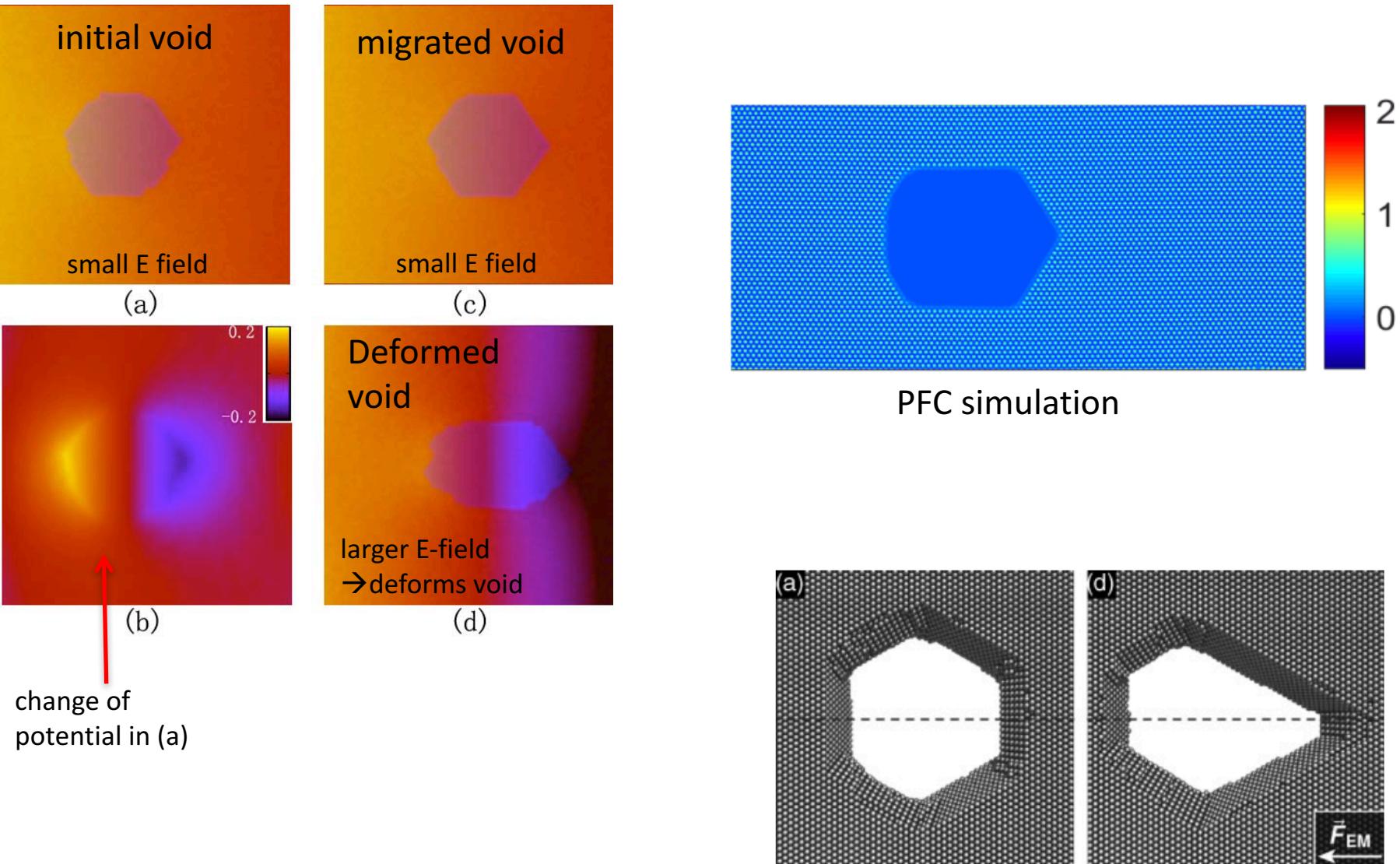


Atom
buildup

Vacancy
buildup



Void Deformation and Migration



Multi-Point Interactions in Alloys: Solidification Shrinkage & Cavitation

$$\begin{aligned}
\mathcal{F}[n] = & \int d\mathbf{r} \left[\frac{n(\mathbf{r})^2}{2} - \frac{n(\mathbf{r})^3}{6} + \frac{n(\mathbf{r})^4}{12} \right] \\
& - \frac{1}{2} \int d\mathbf{r}_1 d\mathbf{r}_2 C_{2(eff)}(\mathbf{r}_1 - \mathbf{r}_2) n(\mathbf{r}_1) n(\mathbf{r}_2) \\
& + \sum_{m=3}^4 \frac{1}{m} \left(\int d\mathbf{r}_1 \cdots d\mathbf{r}_m \chi^{(m)}(\mathbf{r}_1 \cdots \mathbf{r}_m) n(\mathbf{r}_1) \cdots n(\mathbf{r}_m) \right) \\
& + \int d\mathbf{r}_1 d\mathbf{r}_2 [\zeta^2(c) \chi_c(\mathbf{r}_1 - \mathbf{r}_2)] n(\mathbf{r}_1) n(\mathbf{r}_2) + \int \omega |\nabla c|^2 d\mathbf{r}_1
\end{aligned}$$

$$C_{2(eff)} = \chi_1(c) C_2^{AA}(|\mathbf{r} - \mathbf{r}'|) + \chi_2(c) C_2^{BB}(|\mathbf{r} - \mathbf{r}'|)$$

Correlations in average density

$$\chi^{(3)} = (a r + b) \chi(\mathbf{r}_1 - \mathbf{r}_2) \chi(\mathbf{r}_1 - \mathbf{r}_3)$$

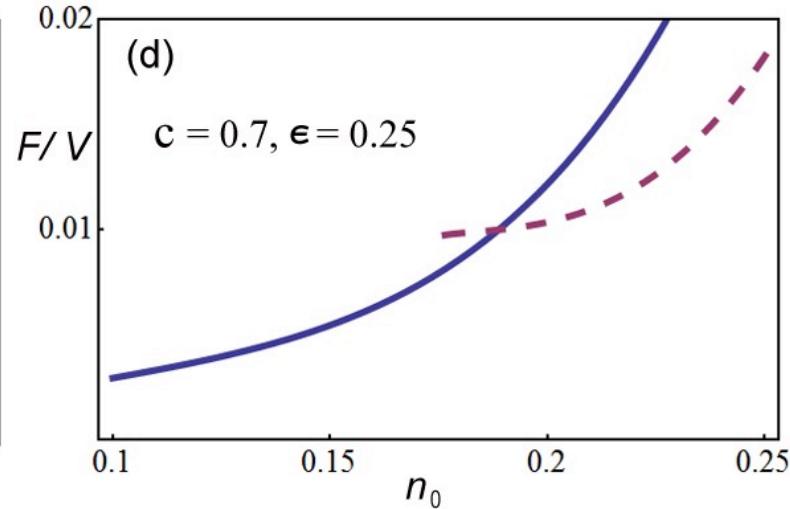
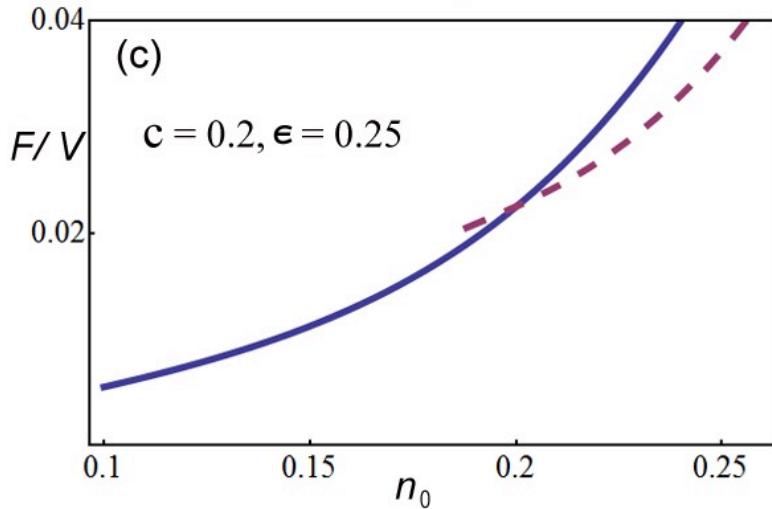
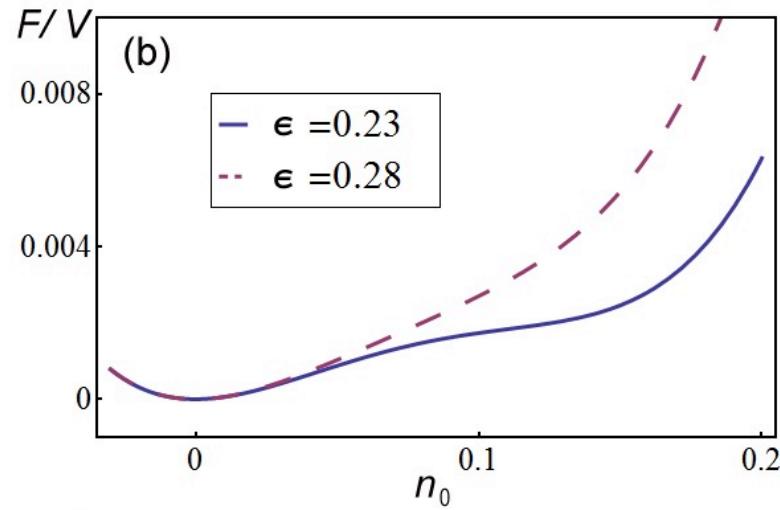
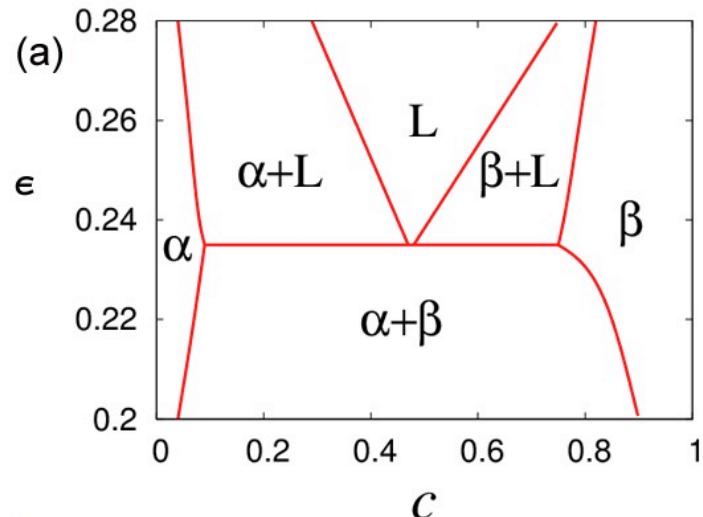
$$\chi^{(4)} = c \chi(\mathbf{r}_1 - \mathbf{r}_2) \chi(\mathbf{r}_1 - \mathbf{r}_3) \chi(\mathbf{r}_1 - \mathbf{r}_4)$$

modulates average density with conc. \rightarrow

$$\zeta^2(c) = \frac{u_1}{2}(c - c_0)^2 + \frac{v_1}{4}(c - c_0)^4$$

Four-Phase Equilibrium Properties

Simple eutectic phase diagram, with a triple-point

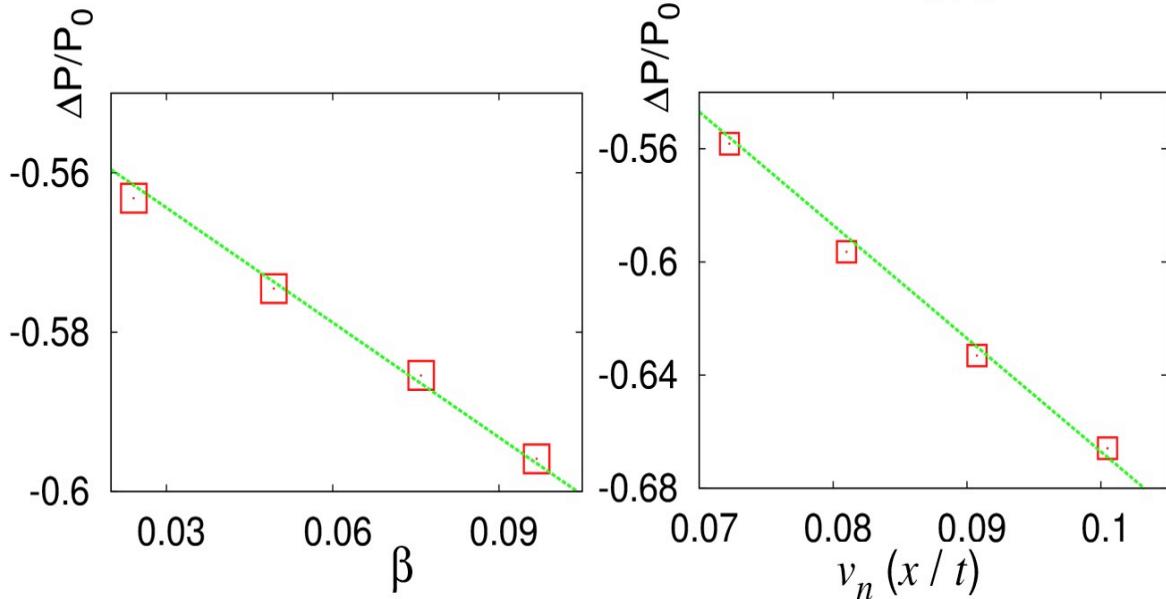
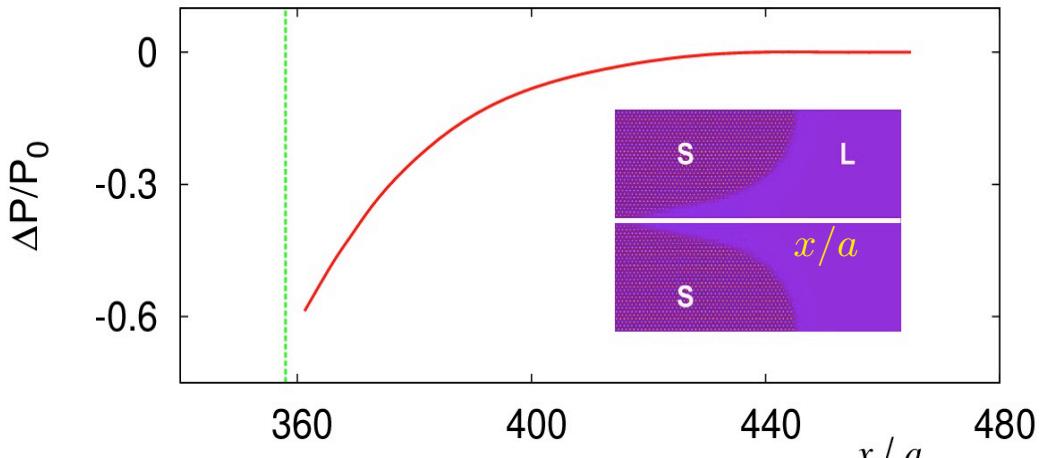


Mushy-Zone Pressure Drop in Confined Liquid Channel

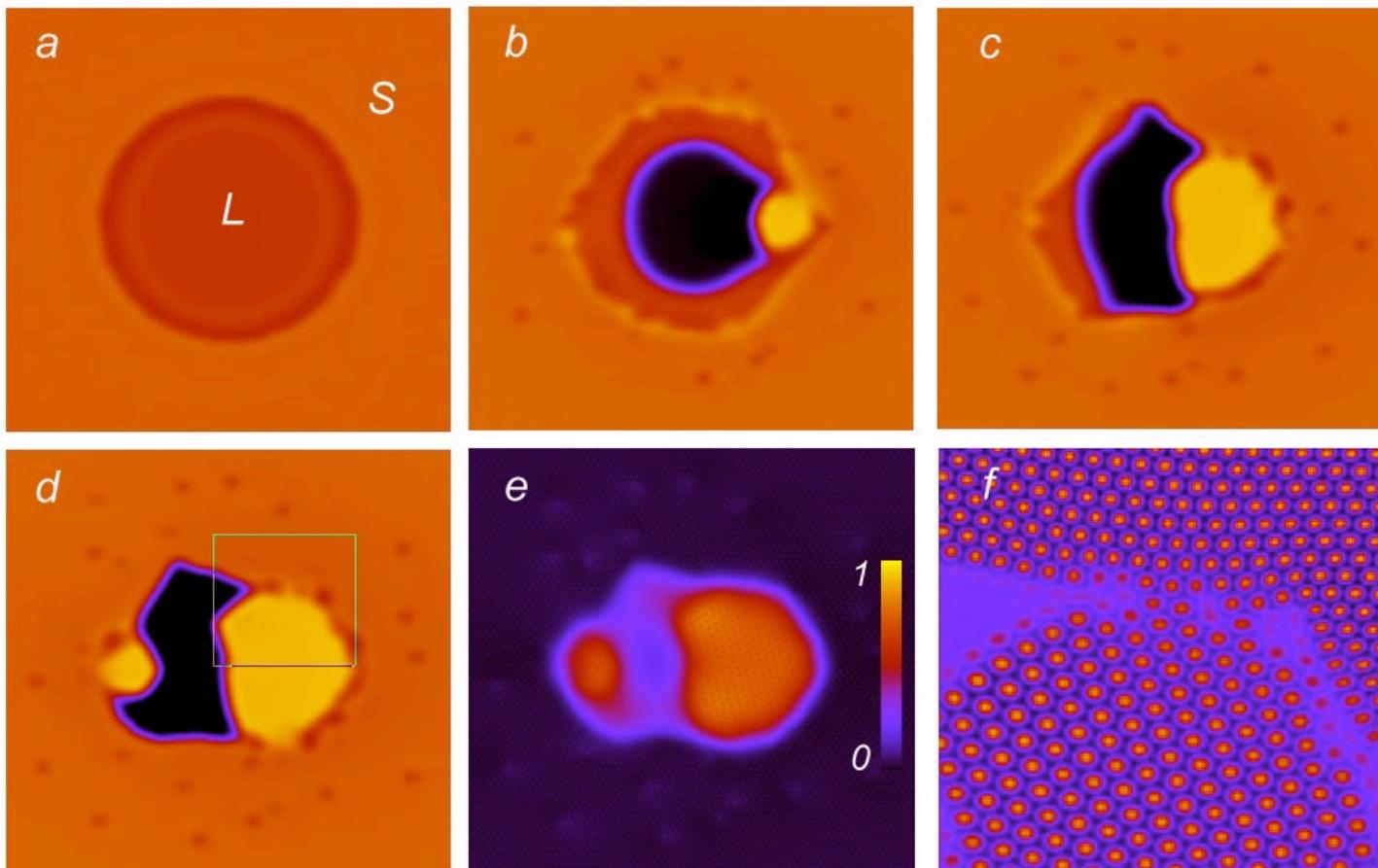
$$\beta = \frac{(\rho_s - \rho_L)}{\rho_L}$$

“Shrinkage factor”

v_n
Solidification speed



Cavitation & Induced Nucleation Of Carbide Phase Particle



Liquid cavitation promoting the nucleation and growth of
the high concentration solid (carbide) phase.

ICME Materials Informatics: Blueprint

Informatics-Based skills

Materials science, condensed matter physics, thermodynamics
Parallel programming, MPI, OpenMP, MatLab
Numerical modeling & algorithms
Microscopy, Characterization, visualization

PROCESSES

MICROSTRUCTURE

Process data: generators

Experiment/sensor measurements

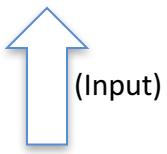
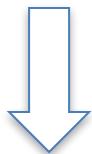
Process models

Microstructure data: generators

Experiments & microstructure characterization

PHASE FIELD MODELING

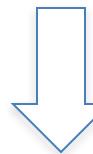
Property models –DFT, Calphad, Factsage, Dictra



Data generated:

Heat/mass transport
Mechanical loads/strains
Distributions & averages

P-M



Data generated:

Grain sizes & boundaries
Solute distributions
Defect structures
Phases layout and type
Averages and distributions
Band structure, binding

M-P

PROPERTIES

Quantified by:

Yield strength
Ductility
Fatigue strength
Fracture toughness
Creep flow
Electrical conductivity

Informatics-Based Training

Big-Data management, cloud computing
Data mining & statistical methods
Genetic algorithms, neural networks

Red=via experiment or characterization

Green=via computational model or theory

Conclusions & Future Outlook

1. PF models: capture the physics of most free-boundary microstructure problems quantitatively
1. PFC models: robust way to include atomic-scale structure and elasto-plasticity to microstructure evolution (elasticity, grain boundaries, dislocations)
2. Outlook:
 1. Generating field theory that unifies all phenomena observed with PFC model under one density field
 2. Consistent coarse graining formalism to generate consistent meso-scale (PF) theories
 3. ICME link with PFC-generated PF models for multi-scale